

01-16-01

Please type a plus sign inside this box ☐

PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	31215.new
First Inventor	Balbach
Title	Context-Specific Contact Information
Express Mail Label No.	EF380359212US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages / 36 / ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 4 / ]
5. ☒ Oath or Declaration [Total Pages / 2 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Checks in the Amounts of \$ 355 and \$323

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

NAME	R. Kent Roberts				
	Hodgson Russ LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EF380359212US

Date of Deposit January 12, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

R. Kent Roberts

Name

Signature

BFLDOCS:489095\_1 (@HDZ01)

BFLDOCS:382\_1 (@M01)

01/12/01

 PTO  
09/759536  
01/12/01

**FEE TRANSMITTAL**  
**for FY 2000**

Patent Fees are subject to annual revision.

Application Number

Filing Date

January 12, 2001

First Named Inventor

Balbach

Examiner Name

Group/Art Unit

Attorney Docket Number

31215.new

TOTAL AMOUNT OF PAYMENT

(\$ 678.00)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:**3. ADDITIONAL FEES**

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

Large  
Fee  
CodeEntity  
Fee  
(\$)Small  
Fee  
CodeEntity  
Fee  
(\$)

Fee Description

Fee  
Paid☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105

130

205

65

Surcharge - late filing fee or oath

\$

☒ Applicant claims small entity status. See 37 CFR 1.27.

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

2. ☒ Payment Enclosed:☒ Check☐ Credit Card☐ Money Order☐ Other

139

130

139

130

Non-English specification

\$

**FEE CALCULATION**

147

2,520

147

2,520

For filing a request for reexamination

\$

**1. FILING FEE**

Large Entity Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

112

920\*

112

920\*

Requesting Publication of SIR prior to Examiner Action

\$

101

710

201

355

Utility filing fee

\$ 355

115

110

215

55

Extension for reply within first month

\$

106

320

206

160

Design filing fee

\$

116

390

216

195

Extension for reply within second month

\$

107

490

207

245

Plant filing fee

\$

117

890

217

445

Extension for reply within third month

\$

108

710

208

355

Reissue filing fee

\$

118

1,390

218

695

Extension for reply within fourth month

\$

114

150

214

75

Provisional filing fee

\$

128

1,890

228

945

Extension for reply within fifth month

\$

**SUBTOTAL (1)**

\$ 355

119

310

219

155

Notice of Appeal

\$

**2. EXTRA CLAIM FEES**Extra Fee from  
Claims below

Fee Paid

120

310

220

155

Filing a brief in support of an appeal

\$

Total Claims

/47/-20\*\* = /27/ x /9/ =

\$ 243

121

270

221

135

Request for oral hearing

\$

Independent Claims

/5/- 3\*\* = /2/ x /40/ =

\$ 80

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent

/ / x / / =

\$

140

110

240

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

141

1,240

241

620

Petition to revive - unintentional

\$

142

1,240

242

620

10 advance copies  
Utility issue fee (or reissue)

\$

103

18

203

9

Claims in excess of 20

143

440

243

220

Design issue fee

\$

102

80

202

40

Independent claims in excess of 3

144

600

244

300

Plant issue fee

\$

104

270

204

135

Multiple dependent claim if not paid

122

130

122

130

Petitions to the Commissioner

\$

109

80

209

40

\*\*Reissue independent claims over original patent

123

50

123

50

Petitions related to provisional applications

\$

110

18

210

9

\*\*Reissue claims in excess of 20 and over original patent

126

240

126

240

Submission of Information Disclosure Statement

\$

**SUBTOTAL (2)**

\$ 323

581

40

581

40

Recording each patent assignment per property (times number of properties)

\$

SIGNATURE: *R. Kent Roberts*

146

710

246

355

Filing a submission after final rejection(37 CFR 1.129(a))

\$

R. Kent Roberts

Reg. No. 40,786

149

710

249

355

For each additional invention to be examined  
(37 CFR 1.129(b))

\$

DATE: January 12, 2001 Telephone: (716) 848-1510

\*Reduced by basic filing fee paid

**SUBTOTAL (3)** \$ 0

Express Mail® Mailing Label Number EF380359212US

Date of Deposit January 12, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post-Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

R. Kent Roberts

Name

BFD0003-4/9808\_1 (04/0001)

Signature

*R. Kent Roberts*